

#### In-Depth Analysis of Anaphora Resolution Requirements

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### What are anaphors?

• Example:

Tom plays the piano. <u>He</u> likes music.

• Anaphora:

"the use of a word which refers to, or is a substitute for, a preceding word or group of words" (Simpson & Weiner 1989: 436)

Anaphora resolution



### **Related work**

- Existing corpora (examples)
  - Syracuse study (600 abstracts from psychology and computer science)

 Mitkov & Hallett (technical manuals, newswire texts, literary texts)

- Existing anaphora resolution frameworks or methods (examples)
  - Hobbs's algorithm
  - Lappin & Leass's RAP



#### **Research desiderata**

- Thorough analysis of types of anaphors for English
- Examination of the frequency of each anaphor type
- Distribution of anaphor types in hypertexts
- Annotated corpora

# Anaphor definition: 6 conditions

- 1) Anaphors refer back as well as forward, i.e. the antecedent either precedes or follows the anaphor.
- 2) Anaphors must have an explicit antecedent, i.e. an antecedent which occurs in the same text.
- 3) Anaphors are interpreted in relation to their antecedent.
- The relation between anaphor and antecedent is coreferential, substitutional, or shows other, miscellaneous features.
- 5) The use of anaphors leads to a reduction of the text and/or avoids excessive repetition.

Examples: a) After <u>she</u> had come home, **Susan** answered her e-mails.

b) Tom bought a blue shirt. Simon bought a green one.



### Types of anaphors

Anaphor type	Examples
Central pronouns	she, her, herself
Reciprocal pronouns	each other, one another
Demonstrative pronouns	this, those
Relative pronouns	who, which
Adverbs	when, there
Noun phrases with "the"	(the girl)
Proper names	(Mr Miller)
Indefinite pronouns	one, other, many
Other forms of coreference and substitution	the same, such, so
Verb phrases with "do" and combinations	do, do it, do this
Ellipses	
Non-finite clauses	-ing, -ed, to

# Types of anaphors – examples

Anaphor type	Example sentences
CENP	<b>Tom</b> plays the piano. <u>He</u> likes music.
RECP	Tom and Susan looked at each other.
DEMP	The <b>mountains</b> in Austria are higher than <u>those</u> in Germany.
RELP	The boy who is playing with the ball is my nephew.
ADV	Dad was in <b>New York</b> . He came back from there yesterday.
NPT	Betty repaired the lamp. The girl is only twelve years old.
PROPN	<b>Bob Miller</b> is at a meeting in Berlin today. In urgent cases you can call the secretary there – just ask for <u>Mr Miller</u> .
INDP	Tom bought a blue <b>shirt</b> . Simon bought a green <u>one</u> .
OCS	He is <b>reliable and a team player</b> . <u>Such qualities</u> are important for a career in computer science.
VPDO	Mary speaks French perfectly. At least, I think she does.
ELL	<b>Betty</b> left early in the morning and forgot to wake them up.
NFC	The goals scored by the team were impressive.



### Corpus of hypertexts – design

Classification by Rehm (2007) – adaption:

- Wikipedia texts
- Blog texts
- Texts from traditional websites
  - homepages of companies
  - institutional homepages
  - personal homepages
  - online newspapers

In total: ~75,000 words



#### **Corpus annotation**

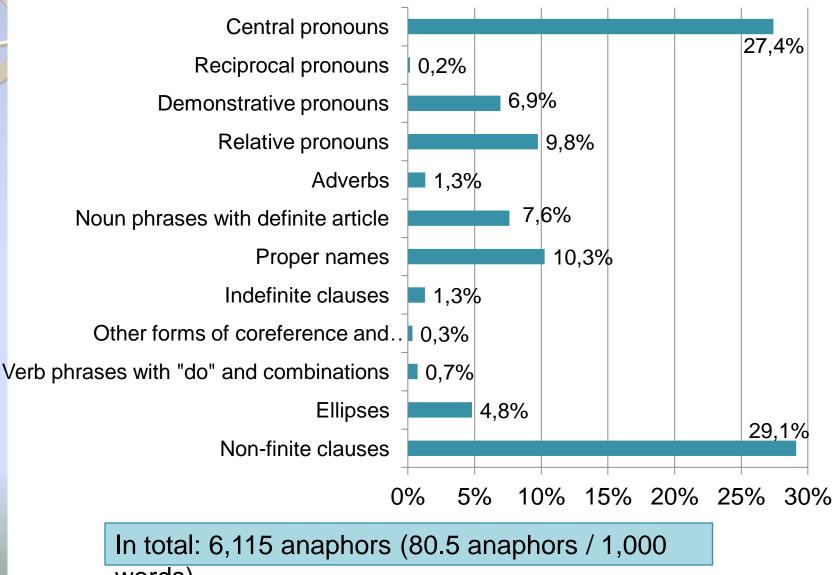
- Anaphor and its type
- Antecedent(s) of each anaphor
- Relationship between anaphor and antecedent

#### Website:

https://www.dimis.fim.uni-

passau.de/iris/index.php?view=anares

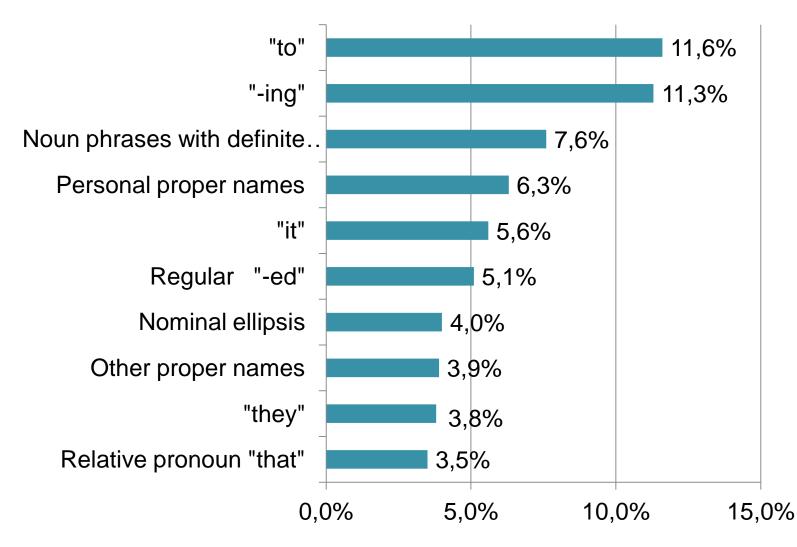
#### Frequency of anaphor types



words)



### The 10 most frequent anaphor items





# Ratio of anaphoric and non-anaphoric items

	Items in total	Central pronouns		Reciprocal pronouns	Demonstrative pronouns	Relative pronouns	Adverbs	
Hypertexts (anaphoric)	6,115 (26.7 %)			10 (83.3 %)			80 (15.1 %)	
Hypertexts (non-anaphoric)	16,798 (73.3 %)			2 (16.7 %)			450 (84.9 %)	
	Noun phrases w definite article	•		Indefinite pronoun s	Other forms of coreference and substitution	Verb phrase with "do" an combination	d finite	
Hypertexts (anaphoric)	4 (12.3	166 %) (12	27 2.7 %)	78 (5.3 %)	21 (9.0 %)	2 (13.4 %	1,780 (24.7 %)	
Hypertexts (non-anaphoric)	3,3 (87.7	%) (8/		1,394 (94.7 %)	212 (91.0 %)	28 (86.6 %	(753	



- Framework "Anares": identifying potential anaphors and antecedents
- Expand the framework "Anares": e.g. knowledge about genus, animate/inanimate
- Expand the corpus



### Thank you for your attention!



#### Sources

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### Knowledge used for rules

Non-anaphoric uses of non-finite clause items and other forms looking like non-finite clause items:

	nouns	ger- unds	adjec- tives	prepo- sitions	preposi- tional adverbs	sub-	in extra- posi- tion	other fixed	simple finite verb phrases		complex finite verb phrases		
		unus	tives	51110115					present forms	past forms	be	have	modal verbs
"to"	x	x	x	✓	✓	~	x	✓	x	×	x	×	×
"-ing"	✓	~	✓	✓	×	√1	✓	×	$\checkmark$	×	<ul> <li>Image: A set of the set of the</li></ul>	~	$\checkmark$
"-ed"	✓	×	✓	x	×	$\checkmark$	x	×	$\checkmark$	$\checkmark$	✓	✓	$\checkmark$
irregular "-ed"	×	x	~	×	x	~	×	x	×	~	~	~	~

<sup>1</sup> anaphoric use is possible, but rare

# Example of implemented rules

Processes for identifying potential anaphoric items of non-finite clauses for anaphora resolution:

- 1. Search for "to"-items, and all items ending in "-ing" and "-ed".
- 2. Exclude non-anaphoric uses of these items as outlined above.
- 3. The remaining items are potentially anaphoric.

Example:

Text (from Wikipedia: Australia (continent), date of last access: 06/01/2012):

When the last ice age *ended* in about 10,000 BC, *rising* sea levels *formed* Bass Strait, <u>separating</u> Tasmania from the mainland. Then between about 8,000 and 6,500 BC, the lowlands in the north were *flooded* by the sea, <u>separating</u> New Guinea and Australia.

Identifying potential anaphors:

- 1. The items "ended", "rising", "formed", "separating", "flooded", "separating" are found.
- 2. The items "rising" (adjective), "ended", "formed" (past forms, simple finite verb phrases), "flooded" ("be", complex finite verb phrases) are non-anaphoric and consequently excluded.
- 3. The two remaining items "separating" are anaphoric here.